

#2 0280 #2 040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LOTSPIECH

Serial No.: 09/770,877

Filing Date: January 26, 2001

For: METHOD FOR BROADCAST ENCRYPTION
AND KEY REVOCATION OF STATELESS
RECEIVERS



Art Unit: Unk. 02/27

Examiner: Unk.

Atty. Docket#:ARC920010005US1

February 11, 2001
750 "B" Street, Suite 3120
San Diego, CA 92101

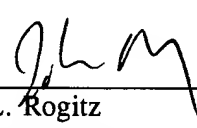
TRANSMITTAL LETTER AND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to Sections 1.97, 1.98, and 1.99 of the Rules of Practice in patent cases, enclosed are copies of the references and patents cited on the "List of References Cited by Applicant," Form PTO-1449, submitted herewith. An acknowledgment postcard is also enclosed.

Respectfully submitted,



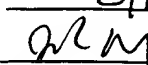
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JLR/jg
Encs.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage fully prepaid, under 37 CFR 1.8, addressed to Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231 on 2/12, 2001.

Date Signed: 2/12, 2001



JOHN L. ROGITZ, Attorney of Record
Registration No. 33,549

#2

Form PTO-1449 (modified)

Attorney Docket No.
ARC920010005US1

Serial No.
09/770,877

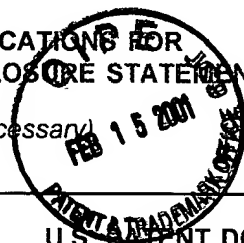
**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant(s): LOTSPIECH et al.

Filing Date:
01/26/01

Group Art Unit:
Unk.



U.S. PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Name | Class | Subclass | Filing Date |
|----------------------|--------------|------|------|-------|----------|----------------|
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PUBLICATIONS / OTHER ART

| Examiner Initials | Citation |
|----------------------|--|
| | PUBLICATION: "Dynamic Traitor Tracing". Fiat et al. International Cryptology Conference, 19th, Santa Barbara. Proceedings of CRYPTO '99, Advances in Cryptology, LNCS, vol. 1666, pp. 354-371. August, 1999. |
| | PUBLICATION: "Privilege Transfer and Revocation in a Port-Based System". Ramamritham et al. IEEE Transactions on Software Engineering. Vol. 12, Issue 5, pp. 635-48. May, 1986. |
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Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).